



Research Paper

A Tale of Two Sequences: Fibonacci and Perrin

Eric Choi, Anthony Delgado, Marty Lewinter, Derek Tan

Abstract

The Fibonacci sequence, $\{u_n\}$, is defined by: $u_0 = 0$, $u_1 = 1$, and $u_{n+2} = u_n + u_{n+1}$. The Perrin sequence, $\{P_n\}$, is defined by: $P_0 = 3$, $P_1 = 0$, $P_2 = 2$, and $P_{n+3} = P_n + P_{n+1}$. We compare and contrast these important sequences in this largely expository article.

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The Fibonacci Sequence

The Fibonacci sequence, $\{u_n\}$, is defined by: $u_0 = 0$, $u_1 = 1$, and $u_{n+2} = u_n + u_{n+1}$. The first few Fibonacci numbers are 0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, 233, 377, and 610. The following identities are quite interesting.

1. $\gcd(u_n, u_{n+1}) = 1$
2. (a) $u_1 + u_2 + \dots + u_n = u_{n+2} - 1$ (b) $u_1 + u_3 + \dots + u_{2k-1} = u_{2k}$ (c) $u_2 + u_4 + \dots + u_{2k} = u_{2k+1} - 1$
3. $u_1^2 + u_2^2 + u_3^2 + \dots + u_n^2 = u_n u_{n+1}$
4. Binet formula: $u_n = \frac{1}{\sqrt{5}} \left[\left(\frac{1+\sqrt{5}}{2} \right)^n - \left(\frac{1-\sqrt{5}}{2} \right)^n \right]$, where $\left| \frac{1-\sqrt{5}}{2} \right| < 1$.
5. For large n , $u_n \approx \frac{1}{\sqrt{5}} \phi^n$, where $\phi = \frac{1+\sqrt{5}}{2} = 1 + \frac{1}{1 + \frac{1}{1 + \dots}}$. ϕ is a root of $\lambda^2 - \lambda - 1 = 0$.
6. $u_n^2 - u_{n+1}u_{n-1} = (-1)^{n+1}$
7. (a) $u_{m+n} = u_{m-1}u_n + u_m u_{n+1}$ (b) $u_{n+1}^2 - u_{n-1}^2 = u_{2n}$ (c) $u_{n+1}^2 + u_n^2 = u_{2n+1}$
8. Let $n \geq m \geq 3$. Then $u_m \mid u_n$ if and only if $m \mid n$.
9. Let p be prime such that $p \neq 5$. Then either $p \mid u_{p-1}$ or $p \mid u_{p+1}$. When $p = 5$, we have $p \mid u_5$.

Proof of #6: Verify that $\begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} u_n & u_{n-1} \\ u_{n+1} & u_n \end{pmatrix} = \begin{pmatrix} u_{n+1} & u_n \\ u_{n+2} & u_{n+1} \end{pmatrix}$. The determinant of a product of square matrices is the product of their determinants. So

$$\begin{vmatrix} 0 & 1 \\ 1 & 1 \end{vmatrix} \times \begin{vmatrix} u_n & u_{n-1} \\ u_{n+1} & u_n \end{vmatrix} = \begin{vmatrix} u_{n+1} & u_n \\ u_{n+2} & u_{n+1} \end{vmatrix} \implies -(u_n^2 - u_{n-1}u_{n+1}) = u_{n+1}^2 - u_n u_{n+2}$$

Letting $F(n) = u_n^2 - u_{n-1}u_{n+1}$, our last equation (exchanging the left and right sides) becomes $F(n+1) = -F(n)$. Now $F(1) = u_1^2 - u_0u_2 = 1$, implying that $F(n) = (-1)^{n+1}$. Done! Note that the characteristic equation of $\begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}$ is $\begin{vmatrix} -\lambda & 1 \\ 1 & 1-\lambda \end{vmatrix} = 0$, or $\lambda^2 - \lambda - 1 = 0$. The roots are $\frac{1+\sqrt{5}}{2}$ and $\frac{1-\sqrt{5}}{2}$, the heroes of the Binet equation!

The Fibonacci sequence, $\{u_n\}$, may be extended for negative values of n by rewriting the recursive relation as $u_n = u_{n+2} - u_{n+1}$. We have

$$\begin{array}{ll} u_{-1} = u_1 - u_0 = 1 - 0 = 1 & u_{-4} = u_{-2} - u_{-3} = -1 - 2 = -3 \\ u_{-2} = u_0 - u_{-1} = 0 - 1 = -1 & u_{-5} = u_{-3} - u_{-4} = 2 - (-3) = 5 \\ u_{-3} = u_{-1} - u_{-2} = 1 - (-1) = 2 & u_{-6} = u_{-2} - u_{-3} = -3 - 5 = -8 \end{array}$$

Fact: For $n < 0$, $u_n = (-1)^{1-n}u_{-n}$. That is, the signs strictly alternate, and $|u_n| = u_{-n}$.

Proof: The Fact is true for the first few (negative) values of n . Assume that $u_n = (-1)^{1-n}u_{-n}$ and $u_{n-1} = (-1)^{2-n}u_{-n+1}$. Then by the recursion,

$$\begin{aligned} u_{n-2} &= u_n - u_{n-1} = (-1)^{1-n}u_{-n} - (-1)^{2-n}u_{-n+1} = (-1)^{1-n}[u_{-n} - (-1)u_{-n+1}] = (-1)^{1-n}[u_{-n} + u_{-n+1}] = \\ &(-1)^{1-n}[u_{|n|} + u_{|n|+1}] = (-1)^{1-n}u_{|n|+2} = (-1)^{1-n}u_{-n+2} = (-1)^{1-n}u_{2-n}. \end{aligned}$$

The Perrin Sequence

The Perrin sequence, $\{P_n\}$, is defined by:

$$P_0 = 3 \qquad P_1 = 0 \qquad P_2 = 2 \qquad \boxed{P_{n+3} = P_n + P_{n+1}}$$

The first few Perrin numbers are 3 0 **2** 3 2 **5** 5 7 10 12 17 **22** 29 **39** 51 68 90 **119**. The bold-font values have prime indices.

Fact: Given any prime number, p , we have $p \mid P_p$.

Examples: 2 | 2 3 | 3 5 | 5 7 | 7 11 | 22 13 | 39 17 | 119

A composite number, n , for which $n \mid P_n$, is called a *Perrin pseudoprime*. The smallest Perrin pseudoprime is 271441.

For $n \geq 3$, P_n counts the number of maximal independent sets of the cycle, C_n .

Consider the matrix-vector equation

$$\begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 1 & 0 \end{pmatrix}^n \begin{pmatrix} 3 \\ 0 \\ 2 \end{pmatrix} = \begin{pmatrix} P_n \\ P_{n+1} \\ P_{n+2} \end{pmatrix} \tag{*}$$

When $n = 0$, the matrix is the identity, so (*) yields our three initial values. That is,

$$\begin{pmatrix} 3 \\ 0 \\ 2 \end{pmatrix} = \begin{pmatrix} P_0 \\ P_1 \\ P_2 \end{pmatrix}$$

When $n = 1$, (*) becomes

$$\begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 1 & 0 \end{pmatrix} \begin{pmatrix} 3 \\ 0 \\ 2 \end{pmatrix} = \begin{pmatrix} 0 \\ 2 \\ 3 \end{pmatrix} = \begin{pmatrix} P_1 \\ P_2 \\ P_3 \end{pmatrix}$$

As a consequence of (*), we have

$$\begin{aligned} \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 1 & 0 \end{pmatrix}^n \begin{pmatrix} 3 & 0 & 2 \\ 0 & 2 & 3 \\ 2 & 3 & 2 \end{pmatrix} &= \begin{pmatrix} P_n & P_{n+1} & P_{n+2} \\ P_{n+1} & P_{n+2} & P_{n+3} \\ P_{n+2} & P_{n+3} & P_{n+4} \end{pmatrix} \implies \begin{vmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 1 & 0 \end{vmatrix}^n \begin{vmatrix} 3 & 0 & 2 \\ 0 & 2 & 3 \\ 2 & 3 & 2 \end{vmatrix} = \begin{vmatrix} P_n & P_{n+1} & P_{n+2} \\ P_{n+1} & P_{n+2} & P_{n+3} \\ P_{n+2} & P_{n+3} & P_{n+4} \end{vmatrix} \\ &\implies \begin{vmatrix} P_n & P_{n+1} & P_{n+2} \\ P_{n+1} & P_{n+2} & P_{n+3} \\ P_{n+2} & P_{n+3} & P_{n+4} \end{vmatrix} = -23 \quad (**) \end{aligned}$$

since $\begin{vmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 1 & 0 \end{vmatrix} = 1$ and $\begin{vmatrix} 3 & 0 & 2 \\ 0 & 2 & 3 \\ 2 & 3 & 2 \end{vmatrix} = 3 \begin{vmatrix} 2 & 3 \\ 3 & 2 \end{vmatrix} + 2 \begin{vmatrix} 0 & 2 \\ 2 & 3 \end{vmatrix} = 3(-5) + 2(-4) = -23$. Done!

To find the characteristic equation of $\begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 1 & 0 \end{pmatrix}$, we evaluate $\begin{vmatrix} -\lambda & 1 & 0 \\ 0 & -\lambda & 1 \\ 1 & 1 & -\lambda \end{vmatrix}$ by cofactor

expansion around the first row, obtaining

$$\begin{vmatrix} -\lambda & 1 & 0 \\ 0 & -\lambda & 1 \\ 1 & 1 & -\lambda \end{vmatrix} = -\lambda \begin{vmatrix} -\lambda & 1 \\ 1 & -\lambda \end{vmatrix} - 1 \begin{vmatrix} 0 & 1 \\ 1 & -\lambda \end{vmatrix} = \lambda(\lambda^2 - 1) - 1 = \lambda^3 - \lambda - 1$$

$\lambda^3 - \lambda - 1$ is an example of a *depressed cubic*, since it is missing a square term, and its leading coefficient is 1. The discriminant of the depressed cubic, $x^3 + bx + c$, is $-4b^3 - 27c^2$. The depressed cubic has a repeated root if and only if the discriminant is 0. The discriminant of $\lambda^3 - \lambda - 1$ is $-4(-1)^3 - 27(-1)^2 = 4 - 27 = -23$, so the roots are distinct. (The identity (**)) features -23 .)

Let the (distinct) roots of $\lambda^3 - \lambda - 1$ be r , s , and t , where

$$r = \sqrt[3]{\frac{9 + \sqrt{69}}{18}} + \sqrt[3]{\frac{9 - \sqrt{69}}{18}} \approx 1.3247 \quad s \approx -.66236 - .56228i \quad t \approx -.66236 + .56228i$$

(s and t are complex conjugates.) Then we have a formula for P_n , reminiscent of the Binet formula for the Fibonacci numbers, namely

$$\boxed{P_n = r^n + s^n + t^n}$$

Since $|s| < 1$ and $|t| < 1$, we see that as n gets large, $P_n \approx r^n$, implying that $\lim_{n \rightarrow \infty} \frac{P_{n+1}}{P_n} = r$.

Here is another way to obtain $\lim_{n \rightarrow \infty} \frac{P_{n+1}}{P_n} = r$, where r is the real solution of $x^3 - x - 1 = 0$.

$$P_{n+3} = P_n + P_{n+1} \implies \frac{P_{n+3}}{P_{n+2}} = \frac{P_n}{P_{n+2}} + \frac{P_{n+1}}{P_{n+2}} \implies \frac{P_{n+3}}{P_{n+2}} = \frac{P_n}{P_{n+1}} \cdot \frac{P_{n+1}}{P_{n+2}} + \frac{P_{n+1}}{P_{n+2}}$$

Letting $\lim_{n \rightarrow \infty} \frac{P_{n+1}}{P_n} = x$, the last equation above becomes

$$x = \frac{1}{x} \cdot \frac{1}{x} + \frac{1}{x} = \frac{1}{x^2} + \frac{1}{x} \implies x = \frac{1}{x^2} + \frac{1}{x} \implies x^3 = 1 + x \implies x^3 - x - 1 = 0 \quad \text{Done!}$$

Fact: $r = -2\text{re}(s)$

Proof: Since $P_n = r^n + s^n + t^n$, we have $r + s + t = P_1 = 0$. Since s and t are complex conjugates, $0 = r + s + t = r + 2\text{re}(s)$, so $r = -2\text{re}(s)$.

Interesting Formula for r : $r = \sqrt[3]{1 + \sqrt[3]{1 + \sqrt[3]{1 + \sqrt[3]{1 + \dots}}}}$

Heuristic Proof: Assuming that the right side converges, we can rewrite it as $r = \sqrt[3]{1+r}$ which becomes $r^3 = 1+r$, or $r^3 - r - 1 = 0$.

Fact: $P_0 + P_1 + P_2 + \dots + P_n = P_{n+2} + P_{n+3} - 2$

Example: When $n = 4$, $P_0 + P_1 + P_2 + P_3 + P_4 = P_6 + P_7 - 2$, or $3 + 0 + 2 + 3 + 2 = 5 + 7 - 2$.

Proof: Let's rewrite the recursive equation, $P_{n+3} = P_n + P_{n+1}$, as $P_n = P_{n+3} - P_{n+1}$. Now insert, successively, the values $0, 1, 2, \dots, n$, yielding the $n + 1$ equations

$$\begin{aligned} P_0 &= P_3 - P_1 \\ P_1 &= P_4 - P_2 \\ P_2 &= P_5 - P_3 \\ &\vdots \\ P_{n-2} &= P_{n+1} - P_{n-1} \\ P_{n-1} &= P_{n+2} - P_n \\ P_n &= P_{n+3} - P_{n+1} \end{aligned}$$

Adding the left and right sides of these equations and cancelling all but a few terms on the right-side sum confirms the Fact. (This is called *telescoping*.) Using telescoping, we also have:

$$(1) P_0 + P_2 + P_4 + \dots + P_{2n} = P_{2n+3} \qquad (2) P_1 + P_3 + P_5 + \dots + P_{2n-1} = P_{2n+2} - 2$$

Fact: $P_n + P_{n+4} = P_{n+2} + P_{n+3}$

Proof: Let $a, b, c, a + b, b + c$ be five consecutive Perrin numbers starting with P_n . That is, $a = P_n, b = P_{n+1}$, etc. Then $P_n + P_{n+4} = a + (b + c)$, while $P_{n+2} + P_{n+3} = c + (a + b)$. Done.

Fact: For $n \geq 5$, $\Delta_n = P_n - P_{n-1} = P_{n-5}$.

Proof: This is true for $n = 5, 6$, and 7 . That is, (1) $P_5 - P_4 = P_0$ and (2) $P_6 - P_5 = P_1$. Then add these two equations to obtain $P_8 - P_7 = P_3$. Now $P_7 - P_6 = P_2$, that is, $7 - 5 = 2$. So $P_n - P_{n-1} = P_{n-5}$ is true for $n = 5, 6, 7$, and 8 . Now generalize this *inductive* procedure for all $n \geq 5$. Done.

Fact 1: Given any k , we have: $P_k - P_{k+2} + P_{k+4} - P_{k+6} = -P_{k+4}$.

Proof: $P_k - P_{k+2} + P_{k+4} - P_{k+6} = (P_k + P_{k+4}) - (P_{k+2} + P_{k+6}) = P_{k+5} - P_{k+7} = -P_{k+4}$.

Fact 2: Given $a \geq 3$, we have: $\sum_{k=0}^{2^a-1} (-1)^k P_{2k} = -\sum_{k=0}^{2^{a-2}-1} P_{8k+4}$

Proof: The series on the left side of the equality has 2^a terms, so it can be partitioned into

$$\frac{2^a}{4} = 2^{a-2} \text{ sums which we evaluate using Fact 1, as follows.}$$

$$1^{\text{st}} \text{ sum: } P_0 - P_2 + P_4 - P_6 = -P_4 = -P_{(0)8+4}$$

$$2^{\text{nd}} \text{ sum: } P_8 - P_{10} + P_{12} - P_{14} = -P_{12} = -P_{(1)8+4}$$

$$3^{\text{rd}} \text{ sum: } P_{16} - P_{18} + P_{20} - P_{22} = -P_{20} = -P_{(2)8+4}$$

⋮

$$2^{a-2} \text{ sum: } P_{(2^{a-2}-1)8} - P_{(2^{a-2}-1)8+2} + P_{(2^{a-2}-1)8+4} - P_{(2^{a-2}-1)8+6} = -P_{(2^{a-2}-1)8+4}$$

Adding both sides of these equations yields $\sum_{k=0}^{2^a-1} (-1)^k P_{2k} = -\sum_{k=0}^{2^{a-2}-1} P_{8k+4}$. Using a similar argument

we have Fact 3.

Fact 3: Given $a \geq 3$, we have:
$$\boxed{\sum_{k=0}^{2^{a-1}-1} (-1)^k P_{2k+1} = -\sum_{k=0}^{2^{a-2}-1} P_{8k+5}}$$

Proof: Using Facts 2 and 3, we have $\sum_{k=0}^{2^a-1} P_k i^k = P_0 + P_1 i + P_2 i^2 \dots + P_{2^a-1} i^{2^a-1} =$

$$\begin{aligned} & (P_0 - P_2 + P_4 - \dots + P_{2^{a-4}} - P_{2^{a-2}}) + (P_1 - P_3 + P_5 - \dots + P_{2^{a-3}} - P_{2^{a-1}}) i = \sum_{k=0}^{2^{a-1}-1} (-1)^k P_{2k} + i \sum_{k=0}^{2^{a-1}-1} (-1)^k P_{2k+1} \\ & = -\sum_{k=0}^{2^{a-2}-1} P_{8k+4} - i \sum_{k=0}^{2^{a-2}-1} P_{8k+5} = -\sum_{k=0}^{2^{a-2}-1} (P_{8k+4} + P_{8k+5} i). \end{aligned}$$

Fact: $P_n + P_{n+1} + P_{n+2} = P_{n+5}$.

Proof: $P_{n+5} = P_{n+2} + P_{n+3} = P_{n+2} + (P_n + P_{n+1}) = P_n + P_{n+1} + P_{n+2}$

Perrin numbers with negative indices are obtained by working backwards. We rewrite the recursion, $P_{n+3} = P_n + P_{n+1}$, as $\boxed{P_n = P_{n+3} - P_{n+1}}$. For $n = -1$, we have $P_{-1} = P_2 - P_0 = 2 - 3 = -1$, and for $n = -2$, we have $P_{-2} = P_1 - P_{-1} = 0 - (-1) = 1$. Here are the first few Perrin numbers with negative indices: $P_{-1} = -1, P_{-2} = 1, P_{-3} = 2, P_{-4} = -3, P_{-5} = 4, P_{-6} = -2, P_{-7} = -1, P_{-8} = 5, P_{-9} = -7, P_{-10} = 6, P_{-11} = -1$.

For $n > 1, P_n = \sum_{m=3}^{n-5} P_m = P_{-3} + P_{-2} + \dots + P_{n-5}$. For $n < 1, P_n = \sum_{m=4}^{4-n} P_{-m} = P_{-4} + P_{-3} + P_{-2} + \dots + P_{4-n}$.

Non-linear Identities: (1) $P_{2n} = P_n^2 - 2P_{-n}$ (2) $P_{2n+1} = P_n P_{n+1} + P_{1-n}$ (3) $P_{3n} = P_n^3 - 3P_n P_{-n} + 3$

Examples: For $n = 3$, (1) $P_6 = P_3^2 - 2P_{-3}$, or $5 = 3^2 - 2 \cdot 2$; (2) $P_7 = P_3 P_4 + P_{-2}$, or $7 = 3 \cdot 2 + 1$; and (3) $P_9 = P_3^3 - 3P_3 P_{-3} + 3$, or $12 = 3^3 - 3 \cdot 3 \cdot 2 + 3$.

Surprising Fact: When p is prime, $P_{-p} = -1 \pmod{p}$.

Examples: $P_{-2} = 1 = -1 \pmod{2}$, $P_{-3} = 2 = -1 \pmod{3}$, $P_{-5} = 4 = -1 \pmod{5}$, $P_{-7} = -1 \pmod{7}$, and $P_{-11} = -1 \pmod{11}$.

The Perrin sequence, *mod* 3, starts **0 0 2** 0 2 2 2 1 1 0 2 1 2 **0 0 2** ... The last three numbers (in bold font) are identical to the first three numbers, so the period of the Perrin numbers, *mod* 3, consists of the thirteen values, 0 0 2 0 2 2 2 1 1 0 2 1 2. The period length is 13. Out of every thirteen Perrin numbers, six are 2 (*mod* 3). Note that we can obtain the Perrin sequence *mod* 3, by applying the recursive relation directly from the revised seed, 0 0 3 provided that we reduce our sums *mod* 3.

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